

Full Scope
Medical Record Review Survey 2012
 California Department of Health Care Services
 Medi-Cal Managed Care

Attachment B

Health Plan _____ IPA _____ Site No. _____ Review Date _____ No. of Physicians _____
 No. of Records _____

Provider _____ Phone _____ Fax _____

Address _____ Contact person/title _____

City/Zip Code _____ Reviewer/title _____

Visit Purpose	Site-Specific Certification(s)	Provider Type	Clinic type
<input type="checkbox"/> Initial Full Scope <input type="checkbox"/> Monitoring <input type="checkbox"/> Periodic Full Scope <input type="checkbox"/> Follow-up <input type="checkbox"/> Focused Review <input type="checkbox"/> Ed/TA <input type="checkbox"/> Other _____ (type)	<input type="checkbox"/> AAAHC <input type="checkbox"/> JCAHO <input type="checkbox"/> CHDP <input type="checkbox"/> NCQA <input type="checkbox"/> CPSP <input type="checkbox"/> None <input type="checkbox"/> Other _____	<input type="checkbox"/> Family Practice <input type="checkbox"/> Internal Medicine <input type="checkbox"/> Pediatrics <input type="checkbox"/> OB/GYN <input type="checkbox"/> General Practice <input type="checkbox"/> Specialist <input type="checkbox"/> Mid-level (type) _____	<input type="checkbox"/> Primary Care <input type="checkbox"/> Community <input type="checkbox"/> Hospital <input type="checkbox"/> FQHC <input type="checkbox"/> Rural Health <input type="checkbox"/> Other _____ (type) <input type="checkbox"/> Solo <input type="checkbox"/> Group <input type="checkbox"/> Staff/Teaching

Scoring Procedure	Medical Record Scores	Compliance Rate																																																
<p>Note: Score only one Preventive section (Pediatric, Adult or OB/CPSP) per record. When scoring for OB/CPSP Preventive, do not score the Adult or Pediatric Preventive for that same record.</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th></th> <th>Points possible</th> <th>Yes Pts. Given</th> <th>No's</th> <th>N/A's</th> <th>Section Score %</th> </tr> </thead> <tbody> <tr> <td>I. Format</td> <td>(8) x 10 = 80</td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>II. Documentation</td> <td>(7) x 10 = 70</td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>III. Continuity/Coordination</td> <td>(8) x 10 = 80</td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>IV. Pediatric Preventive</td> <td>(19) x # of records</td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>V. Adult Preventive</td> <td>(15) x # of records</td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>VI. OB/CPSP Preventive</td> <td>(20) x # of records</td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td></td> <td>Total Points Possible</td> <td>Yes Pts. Given</td> <td>No's</td> <td>N/A's</td> <td></td> </tr> </tbody> </table>		Points possible	Yes Pts. Given	No's	N/A's	Section Score %	I. Format	(8) x 10 = 80					II. Documentation	(7) x 10 = 70					III. Continuity/Coordination	(8) x 10 = 80					IV. Pediatric Preventive	(19) x # of records					V. Adult Preventive	(15) x # of records					VI. OB/CPSP Preventive	(20) x # of records						Total Points Possible	Yes Pts. Given	No's	N/A's		<p>Scoring is based on 10 medical records.</p> <ol style="list-style-type: none"> Add points given in each section. Add points given for all six (6) sections. Subtract "N/A" points (if any) from total points possible to get "adjusted" total points possible. Divide total points given by "adjusted" total points possible. Multiply by 100 to determine compliance rate as a percentage. $\frac{\text{Points Given}}{\text{Total/Adjusted Pts. Poss.}} = \frac{\text{Decimal Score}}{\text{Compliance Rate}} \times 100 = \text{Compliance Rate \%}$ <p>Note: Since Preventive Criteria have different points possible per type (Ped-19, Adult-15, OB/CPSP-20), the <u>total points possible</u> will differ from site to site, depending on the number of <i>types</i> of records that are selected. The "NO" column <i>may</i> be used to help double-check math. The far right Section Score % column may be used to determine if section is <80%.</p>	<p>Note: Any section score of < 80% requires a CAP for the entire MRR, regardless of the Total MRR score.</p> <p>Exempted Pass: 90% or above: (Total score is $\geq 90\%$ and all section scores are 80% or above)</p> <p>Conditional Pass: 80-89%: (Total MRR is 80-89% OR any section(s) score is < 80%)</p> <p>Not Pass: Below 80%</p> <p>___ CAP Required</p> <p>___ Other follow-up</p> <p>Next Review Due: _____</p>
	Points possible	Yes Pts. Given	No's	N/A's	Section Score %																																													
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I. Format Criteria

Note: A Format section score < 80% requires a CAP for the entire MRR, regardless of the Total MRR score.

Criteria met: Give one (1) point. Criteria not met: 0 points Criteria not applicable: N/A	Wt	MR #1	MR #2	MR #3	MR #4	MR #5	MR #6	MR #7	MR #8	MR #9	MR #10	Score
Age/Gender												
A. An individual medical record is established for each member.	1											
B. Member identification is on each page.	1											
C. Individual personal biographical information is documented.	1											
D. Emergency “contact” is identified.	1											
E. Medical records on site are consistently organized.	1											
F. Chart contents are securely fastened.	1											
G. Member’s assigned primary care physician (PCP) is identified.	1											
H. Primary language and linguistic service needs of non-or limited-English proficient (LEP) or hearing-impaired persons are prominently noted.	1											
Comments:	Yes											
	No											
	N/A											

8
Pts. Possible

II. Documentation Criteria

Note: A Documentation section score < 80% requires a CAP for the entire MRR, regardless of the Total MRR score.

 **RN/MD Review only**

Criteria met: Give one (1) point. Criteria not met: 0 points Criteria not applicable: N/A	Wt	MR #1	MR #2	MR #3	MR #4	MR #5	MR #6	MR #7	MR #8	MR #9	MR #10	Score
Age/Gender												
A. Allergies are prominently noted.	1											
B. Chronic problems and/or significant conditions are listed.	1											
C. Current <i>continuous</i> medications are listed.	1											
D. Signed Informed Consents are present when any invasive procedure is performed.	1											
E. Advance Health Care Directive information is offered. (Adults 18 years of age or older; Emancipated minors)	1											
F. All entries are signed, dated and legible.	1											
G. Errors are corrected according to legal medical documentation standards.	1											
Comments:	Yes											
	No											
	N/A											

7
Pts. Possible

III. Coordination/Continuity of Care Criteria

Note: A Coordination/Continuity section score < 80% requires a CAP for the entire MRR, regardless of the Total MRR score.

 RN/MD Review only

Criteria met: Give one (1) point. Criteria not met: 0 points Criteria not applicable: N/A Age/Gender	Wt	MR #1	MR #2	MR #3	MR #4	MR #5	MR #6	MR #7	MR #8	MR #9	MR #10	Score
A. History of present illness is documented.	1											
B. Working diagnoses are consistent with findings.	1											
C. Treatment plans are consistent with diagnoses.	1											
D. Instruction for follow-up care is documented.	1											
E. Unresolved/continuing problems are addressed in subsequent visit(s).	1											
F. There is evidence of practitioner <i>review</i> of consult/referral reports and diagnostic test results.	1											
G. There is evidence of <i>follow-up</i> of specialty referrals made, and results/reports of diagnostic tests, when appropriate	1											
H. Missed primary care appointments and outreach efforts/follow-up contacts are documented.	1											
Comments:	Yes											
	No											
	N/A											

8
Pts. Possible

IV. Pediatric Preventive Criteria (continued on next page)

Note: A Pediatric Preventive section score < 80% requires a CAP for the entire MRR, regardless of the Total MRR score.

 **RN/MD Review only Note:**

Criteria met: Give one (1) point. Criteria not met: 0 points Criteria not applicable: N/A Age/Gender	Wt	MR #1	MR #2	MR #3	MR #4	MR #5	MR #6	MR #7	MR #8	MR #9	MR #10	Score
A. Initial Health Assessment (IHA) Includes H&P and IHEBA												
1. History and physical (H&P)	1											
2. Individual Health Education Behavioral Assessment (IHEBA)	1											
B. Subsequent Periodic IHEBA	1											
C. Well-child visit												
1. Well-child exam completed at age appropriate frequency	1											
2. Anthropometric measurements	1											
3. BMI percentile	1											
4. Developmental screening	1											
5. Anticipatory guidance	1											
6. STI screening on all sexually active adolescents, including chlamydia for females	1											
7. Pap smear on sexually active females	1											
D. Vision Screening	1											

Pediatric Preventive continued on next page

IV. Pediatric Preventive Criteria (continued from previous page)

Note: A Pediatric Preventive section score < 80% requires a CAP for the entire MRR, regardless of the Total MRR score.

 **RN/MD Review only**

Criteria met: Give one (1) point. Criteria not met: 0 points Criteria not applicable: N/A <div style="text-align: right; padding-right: 10px;">Age/Gender</div>	Wt	MR #1	MR #2	MR #3	MR #4	MR #5	MR #6	MR #7	MR #8	MR #9	MR #10	Score
E. Hearing Screening	1											
F. Nutrition Assessment	1											
G. Dental Assessment	1											
H. Blood Lead Screening Test	1											
I. Tuberculosis Screening	1											
J. Childhood Immunizations												
1. Given according to ACIP guidelines	1											
2. Vaccine administration documentation	1											
3. Vaccine Information Statement (VIS) documentation	1											
Comments:	Yes											
	No											
	N/A											

19
Pts. Possible

V. Adult Preventive Criteria (continued on next page)

Note: An Adult Preventive section score < 80% requires a CAP for the entire MRR, regardless of the Total MRR score.

 **RN/MD Review only**

Criteria met: Give one (1) point. Criteria not met: 0 points Criteria not applicable: N/A	Wt	MR #1	MR #2	MR #3	MR #4	MR #5	MR #6	MR #7	MR #8	MR #9	MR #10	Score
Age/Gender												
A. Initial Health Assessment (IHA): Includes H&P and IHEBA												
1. History and physical (H&P)	1											
2. Individual Health Education Behavioral Assessment (IHEBA)	1											
B. Subsequent Periodic IHEBA	1											
C. Periodic Health Evaluation according to most recent USPSTF Guidelines	1											
D. High Blood Pressure Screening	1											
E. Obesity Screening	1											
F. Lipid Disorders Screening	1											

Adult Preventive continued on next page

V. Adult Preventive Criteria (continued from previous page)

Note: An Adult Preventive section score < 80% requires a CAP for the entire MRR, regardless of the Total MRR score.

 **RN/MD Review only**

Criteria met: Give one (1) point. Criteria not met: 0 points Criteria not applicable: N/A <div style="text-align: right; padding-right: 10px;">Age/Gender</div>	Wt	MR #1	MR #2	MR #3	MR #4	MR #5	MR #6	MR #7	MR #8	MR #9	MR #10	Score
G. Tuberculosis Screening	1											
H. Breast Cancer Screening	1											
I. Cervical Cancer Screening	1											
J. Chlamydia Infection Screening	1											
K. Colorectal Cancer Screening	1											
L. Adult Immunizations												
1. Given according to ACIP guidelines	1											
2. Vaccine administration documentation	1											
3. Vaccine Information Statement (VIS) documentation	1											
Comments:	Yes											
	No											
	N/A											

15
Pts. Possible

VI. OB/CPSP Preventive Criteria (continued on next page)

Note: An OB/CPSP Preventive section score < 80% requires a CAP for the entire MRR, regardless of the Total MRR score.

 **RN/MD Review only**

Criteria met: Give one (1) point. Criteria not met: 0 points Criteria not applicable: N/A Age	Wt	MR #1	MR #2	MR #3	MR #4	MR #5	MR #6	MR #7	MR #8	MR #9	MR #10	Score
A. Initial Comprehensive Prenatal Assessment (ICA)												
1. ICA completed within 4 weeks of entry to prenatal care	1											
2. Obstetrical and Medical History	1											
3. Physical Exam	1											
4. Lab tests	1											
5. Nutrition	1											
6. Psychosocial	1											
7. Health Education	1											
8. Screening for Hepatitis B Virus	1											
9. Screening for Chlamydia Infection	1											
B. Second Trimester Comprehensive Re-assessment	1											
C. Third Trimester Comprehensive Re-assessment	1											
1. Screening for Strep B	1											
D. Prenatal care visit periodicity according to most recent ACOG standards	1											

OB/CPSP continued on next page

VI. OB/CPSP Preventive Criteria (continued from previous page)

Note: An OB/CPSP Preventive section score < 80% requires a CAP for the entire MRR, regardless of the Total MRR score.

  **RN/MD Review only**

Criteria met: Give one (1) point. Criteria not met: 0 points Criteria not applicable: N/A	Wt	MR #1	MR #2	MR #3	MR #4	MR #5	MR #6	MR #7	MR #8	MR #9	MR #10	Score
Age												
E. Individualized Care Plan (ICP)	1											
F. Referral to WIC and assessment of Infant Feeding status	1											
G. HIV-related services <i>offered</i>	1											
H. AFP/Genetic screening <i>offered</i>	1											
I. Domestic Violence/Abuse Screening	1											
J. Family Planning Evaluation	1											
K. Postpartum Comprehensive Assessment	1											
Comments:	Yes											
	No											
	N/A											

20
Pts. Possible